



Attorney's Docket No.: 2834C1-303002

921 1763
C1-303002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zuniga et al. Art Unit : 1763
Serial No. : 09/848,830 Examiner : S. MacArthur
Filed : May 3, 2001
Title : CARRIER HEAD WITH A MULTILAYER RETAINING RING FOR
CHEMICAL MECHANICAL POLISHING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11/4/04

David G —

David J. Goren
Reg. No. 34,609

Telephone: (650) 839-5070
Facsimile: (650) 839-5071

11/15/2004 LWONDIMI 00000037 09848830

01 FC:1806

180.00 DP

50245654.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 4, 2004

Nikia M. Mc Nillion

Substitute Form PTO-1449 Modified 10/2006 <i>NOV 12 2006</i> Information Disclosure Statement by Applicant (Use several sheets if necessary) <i>(37 CFR 1.13(b))</i>	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 2834C1-303002	Application No. 09/848,830
		Applicant Zuniga et al.	
		Filing Date May 3, 2001	Group Art Unit 1763

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL	JP06-039705 A2	02/15/1994	Japan			X
	AM	JP10-058309 A5	03/03/1998	Japan			X
	AN	JP10-058309 A	03/03/1998	Japan			X
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	